



International Association for Soaps,  
Detergents and Maintenance Products

# A.I.S.E. SUMI webinar



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[www.aise.eu](http://www.aise.eu)

# Topics Overview

- Introduction
- Roles and Obligations under REACH
- Communication in the Supply Chain
- A.I.S.E. SUMIs
- Using the SUMIs: the Role of Formulators
- Next Steps
- Q&A





International Association for Soaps,  
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# ROLES AND OBLIGATIONS UNDER REACH

## AISE WEBINAR

Sonia Benacquista (AFISE)



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# Registrants and DUs in the registration process



## Manufacturers / importers (Registrants)

- In charge of compiling the information on hazardous properties and uses for all individual substances they register
- In charge of assessing that all the uses described in the registration dossier (with associated RMM and OC) are safe throughout the whole lifecycle of the substance

## Downstream users

- Are encouraged to communicate information on uses so that the registrant has the most relevant information in order to carry out the safety assessment

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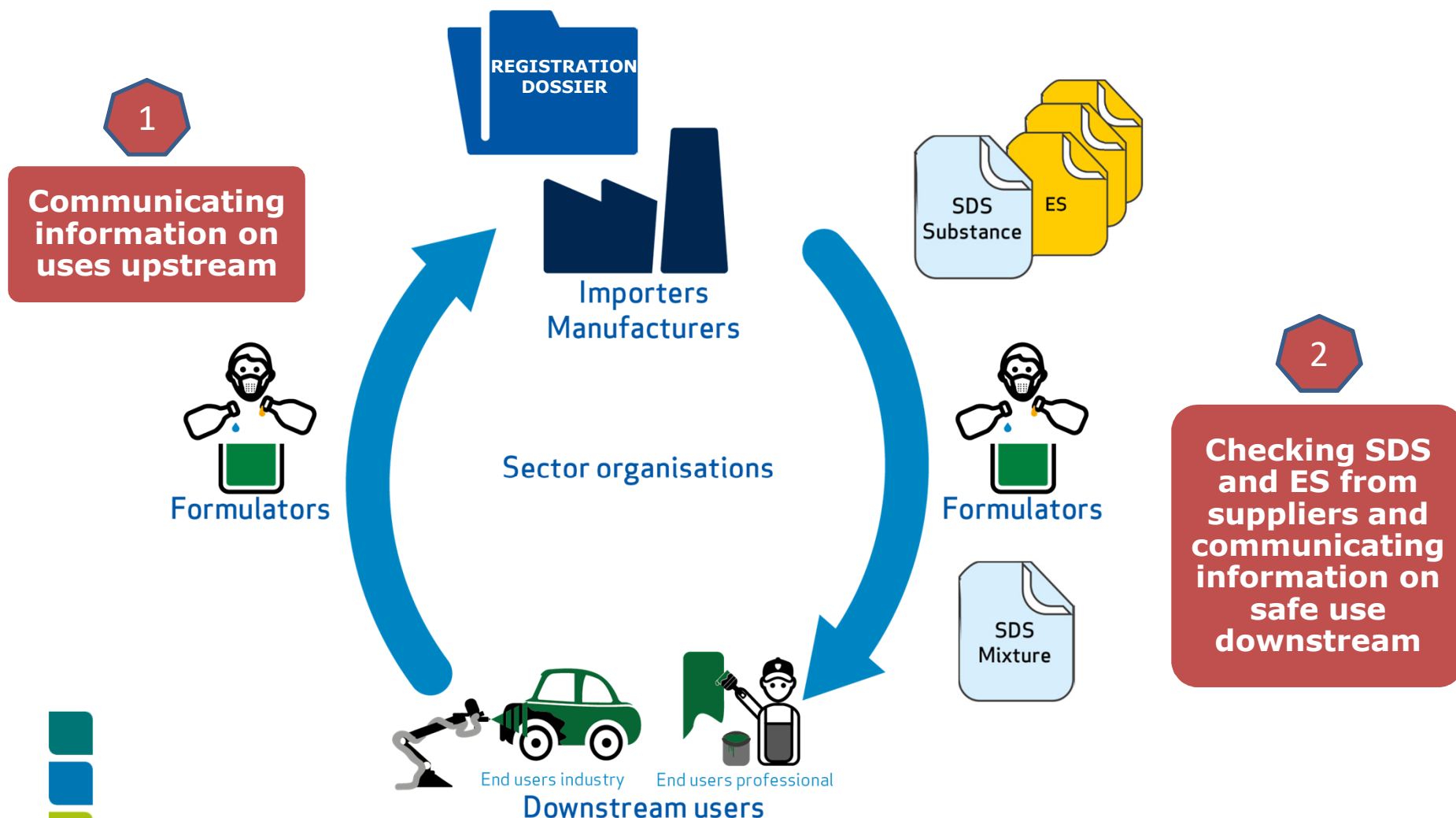
# The Chemical Safety Assessment (CSA)



- For each substance registered > 10t/y and classified as dangerous or PBT/vPvB, the CSA included in the registration dossier shall include:
  - An exposure assessment with risk characterisation (*article 14(4)*)
- Registrants are responsible for assessing all **identified** uses of their substances throughout the supply chain, based on use information provided by downstream users (*article 37(2)*)
- The outcome of the CSA shall result in Exposure Scenarios (ES) provided to downstream users as SDS attachments



# Supply chain communication : formulators role under REACH



6

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# Communication flow in the supply chain

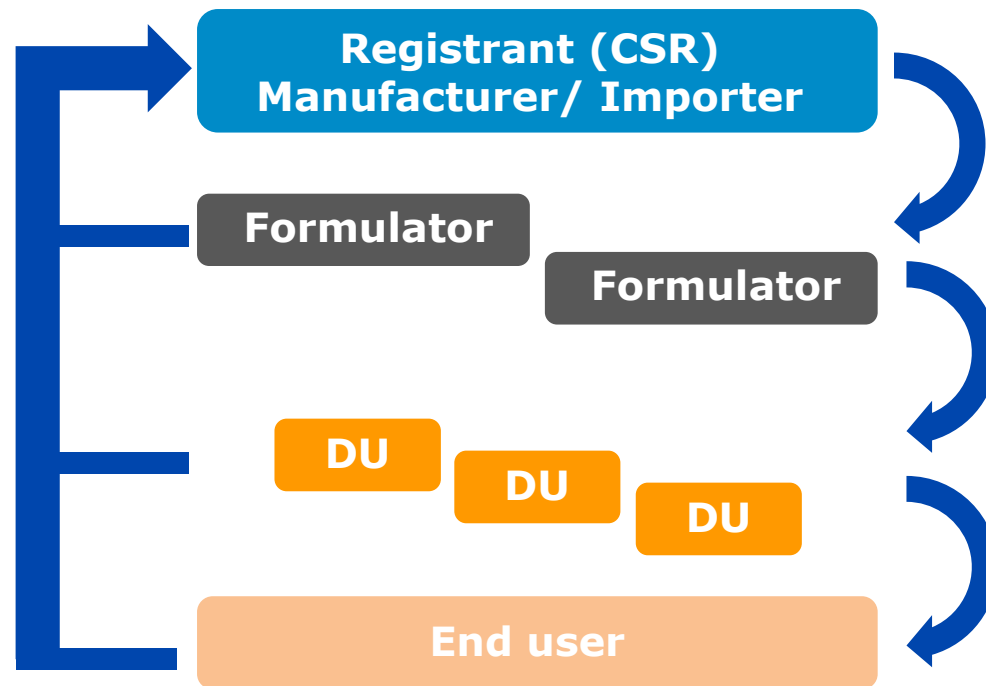


Upstream

Downstream

Communication on uses to the registrant

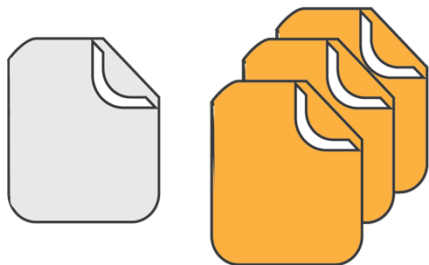
Communication on safe use to downstream users



# Communication up the supply chain



## Registrants



Chemical Safety Report  
Exposure Scenarios



Information on uses

Direct communication to the supplier

or

Via sector organisations

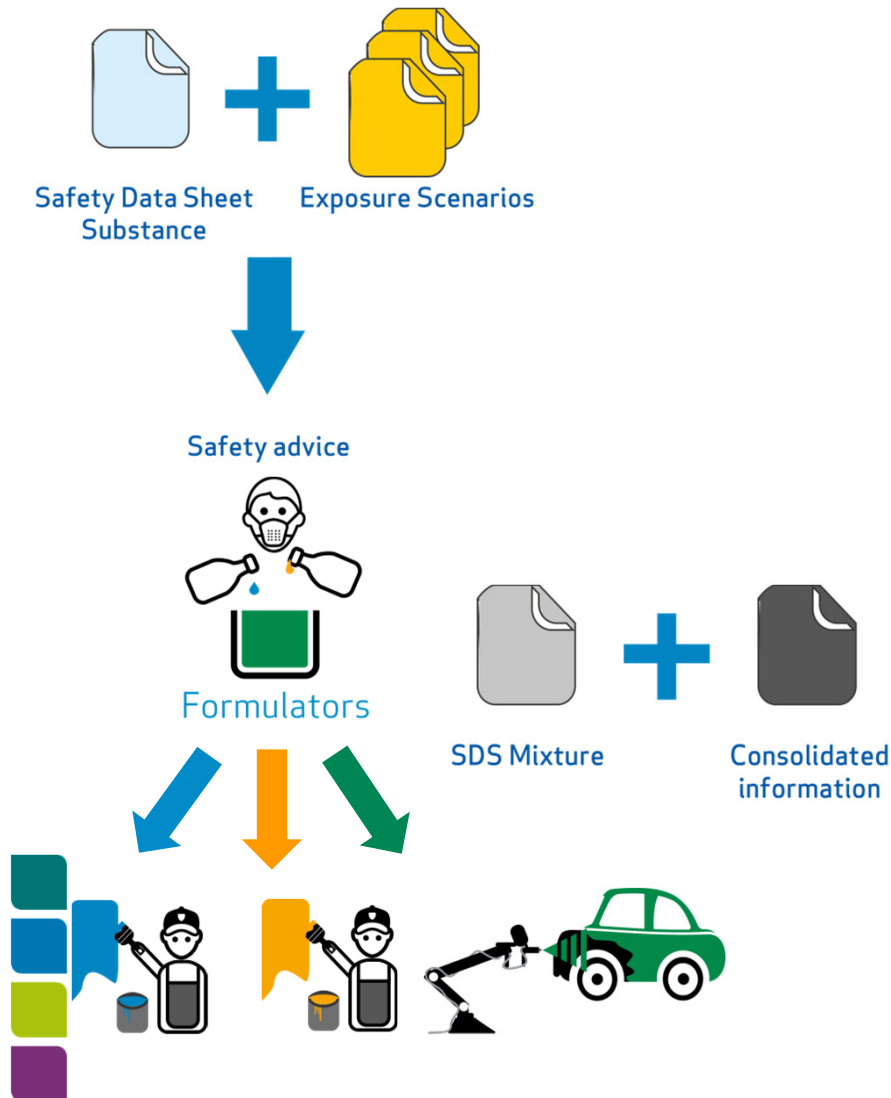
Sector Use Maps

| Substance             | CAS No. | EC No.    | IUPAC Name            | Molecular Weight | Molecular Formula                             | Classification |       |       | Hazardous Properties   | Other Information |
|-----------------------|---------|-----------|-----------------------|------------------|---|----------------|-------|-------|--|-------------------|
|                       |         |           |                       |                  |   | CLP            | REACH | Other |  |                   |
| 1,2-Dichloroethane    | 78-07-2 | 203-463-0 | 1,2-Dichloroethane    | 98.96            | C <sub>2</sub> H <sub>4</sub> Cl <sub>2</sub> | Xn             | Xi    | +     | Flammable liquid, Harmful to the environment, Irritant to the skin | ...               |
| 1,1-Dichloroethane    | 78-06-2 | 203-462-0 | 1,1-Dichloroethane    | 98.96            | C <sub>2</sub> H <sub>3</sub> Cl <sub>2</sub> | Xn             | Xi    | +     | Flammable liquid, Harmful to the environment, Irritant to the skin | ...               |
| 1,1,1-Trichloroethane | 78-07-3 | 203-463-0 | 1,1,1-Trichloroethane | 131.39           | C <sub>2</sub> HCl <sub>3</sub>               | Xn             | Xi    | +     | Flammable liquid, Harmful to the environment, Irritant to the skin | ...               |
| 1,1,2-Trichloroethane | 78-07-1 | 203-462-0 | 1,1,2-Trichloroethane | 131.39           | C <sub>2</sub> HCl <sub>3</sub>               | Xn             | Xi    | +     | Flammable liquid, Harmful to the environment, Irritant to the skin | ...               |

## Customers (Downstream users)

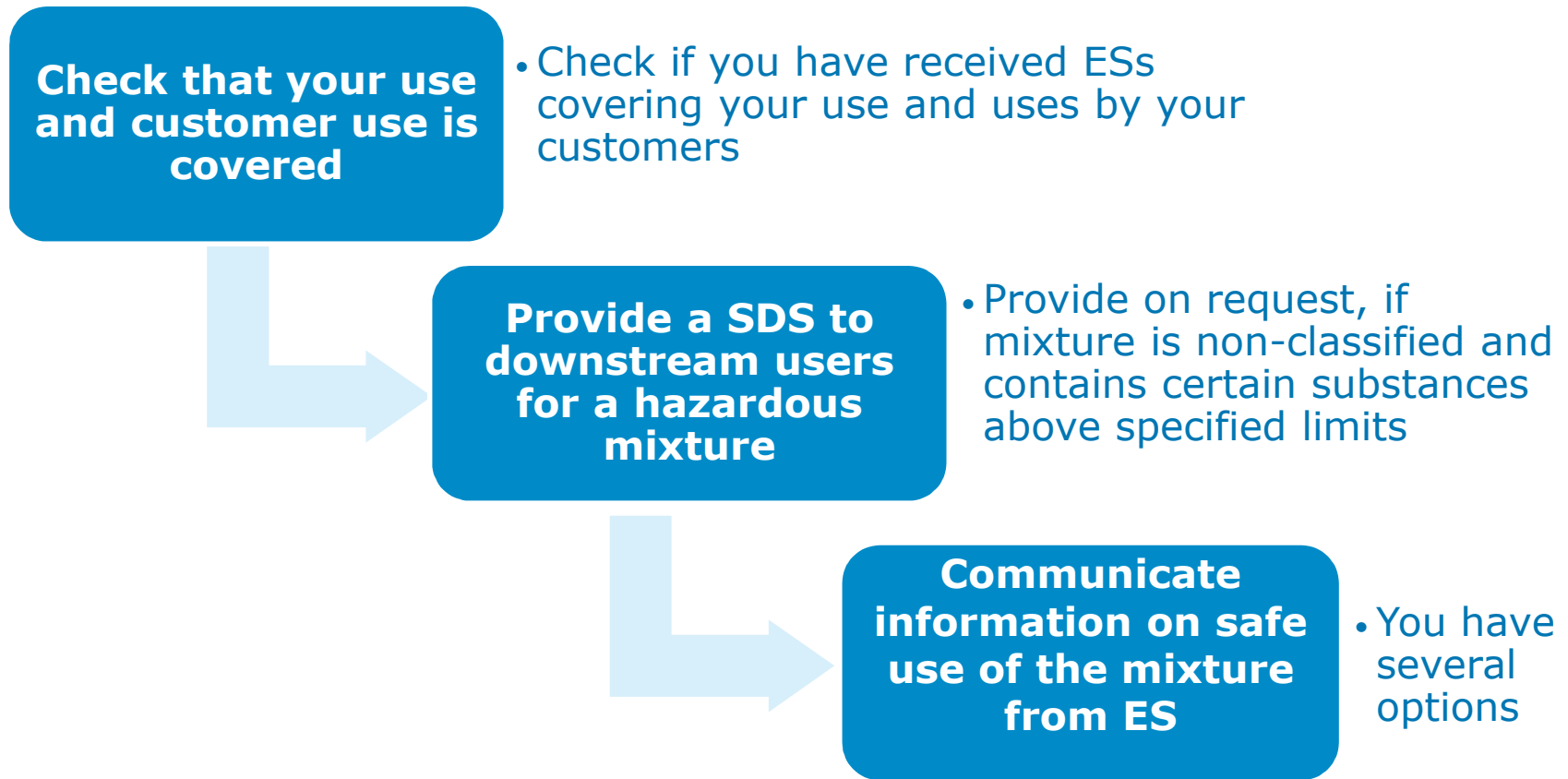


# Communication down the Supply Chain - The formulator's role



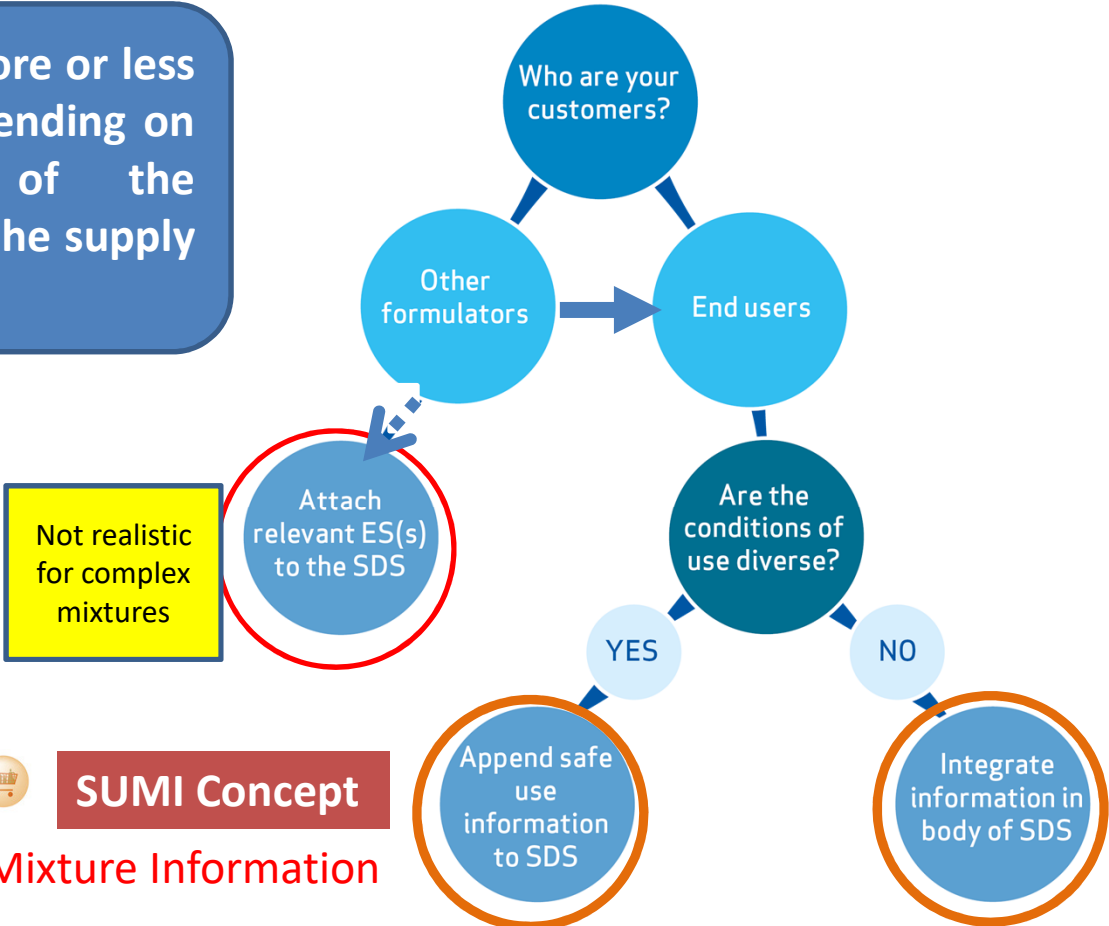
- Formulator receives extended safety data sheets for substances from his suppliers
- Identifies and consolidates relevant advice to provide for safe use of the mixture
- Provides safety data sheets for hazardous mixtures to downstream users, together with consolidated information on safe use
- Customers receive clear, relevant information so they can use the mixtures safely

# What to do when you receive ES and you are a formulator



# The 3 options for Mixtures

3 options, more or less relevant depending on the role of the customer in the supply chain



**SUMI Concept**

**Safe Use Mixture Information**

**Inform your customers without delay when new information becomes available**



GS2



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# COMMUNICATION IN THE SUPPLY CHAIN

## AISE WEBINAR

Patrick Verhelle (DETIC)



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**Slide 12**

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**GS2**

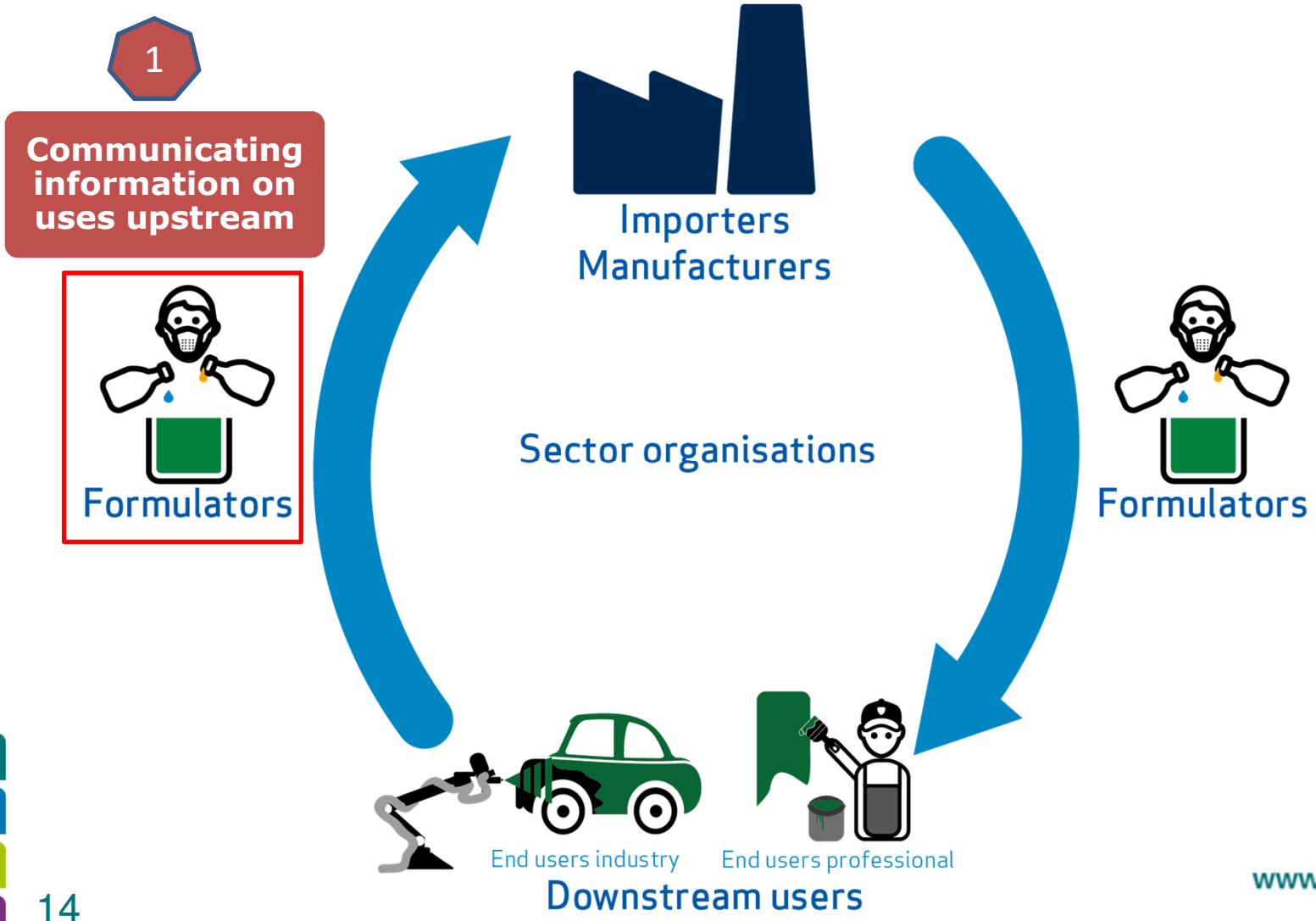
CSR Roadmap, ENES, Use maps and the SUMI option.

Giulia Sebastio, 05/11/2018

# Webinar Outline

- **Use maps**
  - ✓ ENES
  - ✓ CSR Roadmap
  - ✓ Bottom-up approach
  - ✓ SWED's
- **3 options for Mixtures → SUMI's**
- **Description of Use**
- **Description of the documents**

# Supply chain UP-stream communication Formulators role under REACH



# Use Maps

## Involvement of sector organisations

To close this gap and ensure that registrants have this important information available, a network of experts (**ENES** <sup>(1)</sup>, Exchange Network on Exposure Scenarios) has included the sector organisations in this part of the communication chain.

In particular, this was done by analysing and standardising the descriptions of **all known (PC&H) end-uses in each sector in the so-called specific Use Map.**

[www.aise.eu](http://www.aise.eu)

# (1) Exchange Network Exposure Scenarios

- **ENES** is a collaborative **network**
- Established by **ECHA** together with most sector **ORGANISATIONS** (DUCC, AISE, etc.)
- Identifying **GOOD PRACTICES** on preparing and implementing Exposure Scenario's
- Develop **EFFECTIVE COMMUNICATION EXCHANGE** between **ALL** supply chain actors (manufacturer, **formulator**, user, authorities)

[www.aise.eu](http://www.aise.eu)



# Use Maps

## Way of working ...

Since 2009, A.I.S.E. has been gathering information within its membership to identify **all uses of a substance** in Cleaning & Maintenance products to ensure that the **REACH risk assessment is appropriate and accurate.**

The analysis of the professional uses has been done through cooperation with an independent research organisation (TNO), experts from several cleaning companies and supported by **several formulators active in the PC&H (B2B) sector.**



# Use Maps

## Way of working ...

During the last years a lot of work has been done also in the context of the CSR/ES Roadmap to harmonize the use description based on the [ECHA use descriptor system](#) (updated in Dec 2015) and, where possible, on [ESCom standard phrases](#).

In the last years many tools have been made available or updated to prepare for the 2018 REACH Registration deadline, including the **ESCom Package 2.0** and a harmonized Improved **Use Maps template**.

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# Use Maps

## Way of working ...

Like the older tables of uses, USE MAPS cover the vast majority (> 80 %) of the products' uses identified by A.I.S.E. members, both large and small companies. However, following the questions and the comments received over the years, the team preparing the improved use maps tried to simplify as much as possible the descriptions and the grouping of the different activities. **This simplification aims at ensuring that these use maps can cover effectively a wide range of applications, regardless of the size of the company and of the specificity of the market – and, therefore, products' use - in the different EU countries.**

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# Use Maps

## Way of working ...

The A.I.S.E. work resulted initially in more than **150 different uses**, which have been **aggregated after a grouping exercise**.

The **improved A.I.S.E. use maps V1.1** were published at the end of 2017 and were

- incorporated to the template,
- discussed and agreed on,

 In the context and in line with the **CSR/ES roadmap 2018** <sup>(2)</sup>

## (2) REACH CSR/ES Roadmap 2018

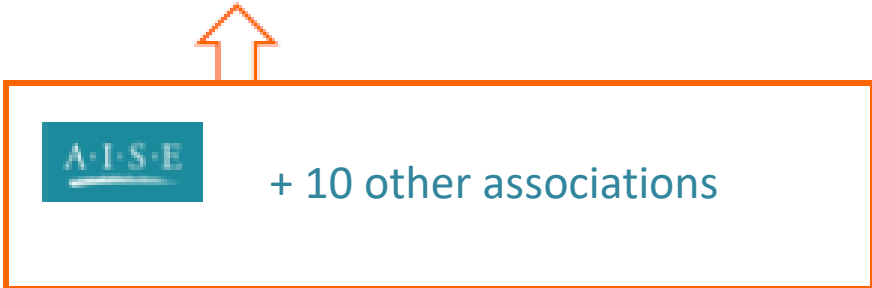
- 5 years plan for improving the **content and use of ES**
- Jointly developed by **ECHA and stakeholder organisations**
- Commitment **charter signed** to this collaborative effort
- **Support development and implementation** of the actions laid down in the roadmap.

### Objective:

Generate and communicate clear information on safe use conditions for chemicals through the supply chain

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# ECHA CSR/ES Roadmap 2018



Member States Competent Authorities Representatives:  
DE (BAuA, Bfr, UBA), GR, FR, IT, NL, PL, RO, UK, FI

## A.I.S.E. active participation under DUGG coordination

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# Use Maps

## Way of working ...

Registrants were encouraged to consider the UM as representative for the sector when they prepare their registration dossiers.

Also, for the same activity, e.g. spraying of a product, the use maps could list the different combinations of **Risk Management Measures** and **Operational Conditions** that can occur on the market depending on several factors, e.g. CLP classification of the product (see SWEDs section for more details).

# Use Maps

## Way of working ...

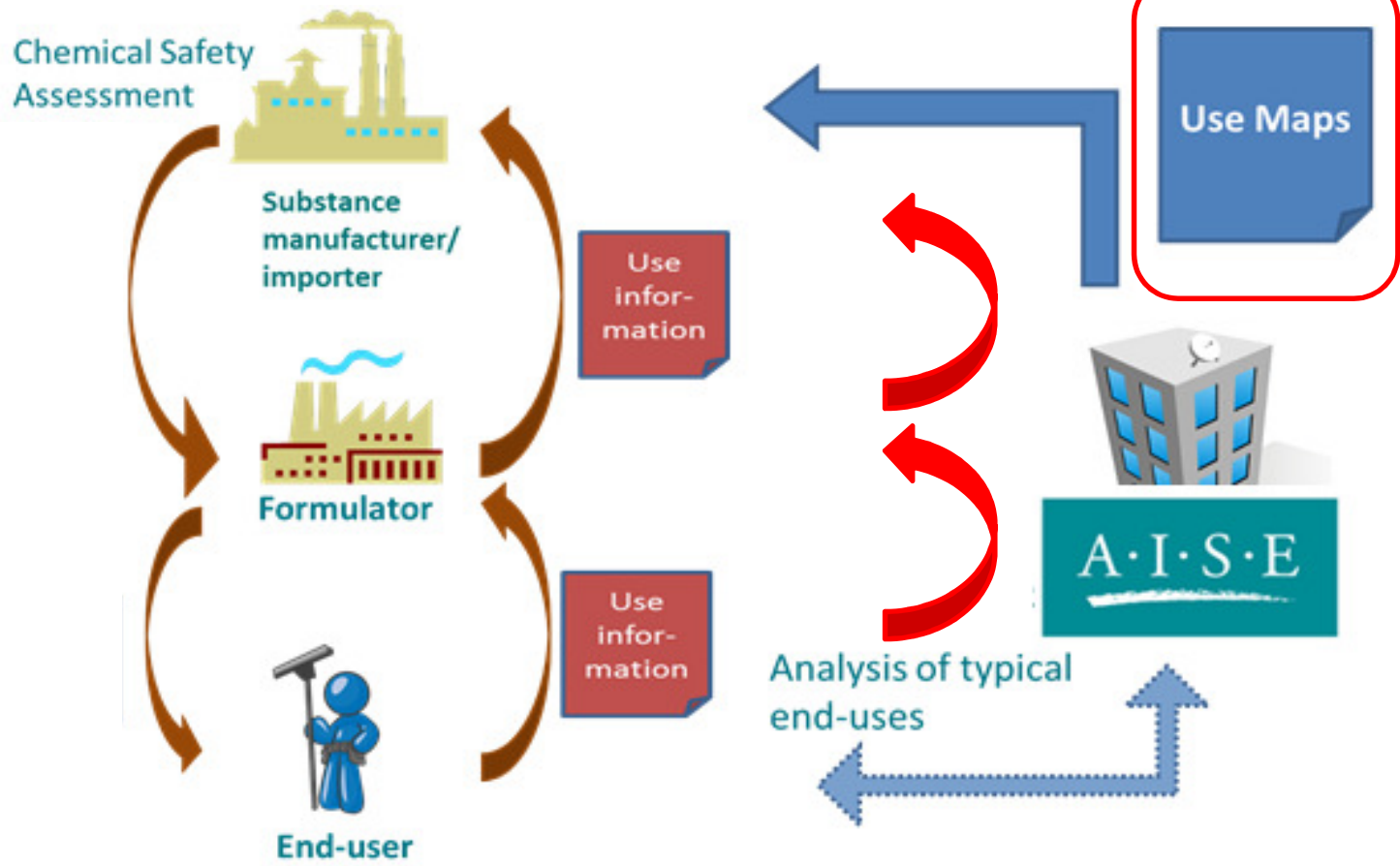
To make an efficient use of such information, registrants are encouraged to **base their risk assessment** on the less stringent conditions, e.g. in the absence of RMMs if it occurs.

**If safe use can be demonstrated under these conditions, also more stringent conditions of use are considered covered.**

Differently, if safe use **cannot be demonstrated**, other stricter scenarios should be considered and communicated to the DUs accordingly via the ESs.

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# Communication UP the supply chain via USE MAPS



# Communication UP the supply chain via USE MAPS



https://echa.europa.eu/csr-es-roadmap/use-maps/use-maps-library

CEFC | New Tab | Consolidated acts - E | CIRCABC - Welcome | HelpNet Platform | Comitology Register | Downstream users - | myworkandme - Log | CIRCABC - CARACAL | New

### Information requirements

- Chemical safety report
  - Chemical safety report/Exposure scenario roadmap

Use maps

### Use maps

Concept | **Templates and submission** | Use maps library

Home | Join us on LinkedIn | Contact us | Member login | Welcome Giulia Sebastio

A.I.S.E. International Association for Soaps, Detergents and Maintenance Products

HOME | ABOUT A.I.S.E. | **OUR ACTIVITIES** | OUR INDUSTRY | OUR PARTNERS | LIBRARY | EVENTS | NEWSROOM

You are here: Home > Our activities > Regulatory context > REACH

### Our policy recommendations

Regulatory context

> REACH

- Description of uses
- Workers exposure assessment
- Consumer safety exposure assessment
- Environmental exposure assessment
- Safe use information for end-users
- External links
- Ask a question

### REACH

REACH is Europe's ground-breaking regulation on the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) that went into force on 1 June 2007.

A.I.S.E. works closely with the European Chemicals Agency and all interested parties to ensure that REACH is effectively implemented.

Implementing REACH effectively and in a harmonised way is crucial to its success and a challenging task that A.I.S.E. has been very much involved in from the start. While manufacturers, importers or downstream users of chemicals are all affected, A.I.S.E. focuses on product manufacturers' duties, so-called downstream users (Dus) using REACH terminology.

### Representing the voice of downstream users (DUs)

A.I.S.E. is an Accredited Stakeholder Organisation (ASO) of the European Chemicals Agency, ECHA, and works together with it and all interested parties towards successful REACH implementation. We are a leading member of DUCC, the Downstream Users of Chemicals Coordination group, and also participate in several working groups from Cefic.

A.I.S.E. is a member of the Chemical Safety Report / Exposure Scenario Roadmap, together with

This library includes the use description and the input parameters for workers exposure assessment (SWEDs), for consumers exposure assessment (SCEDs) and for environmental exposure assessment (SPERCs), made available by sector organisations for their typical uses.

The information aims at supporting registrants in preparing their chemical safety assessments (CSAs). Registrants will find here the use description for key typical products, as agreed at sector level, as well as the associated conditions of use. This provides a realistic basis for their chemical safety assessment.

To stay informed about new submissions to the library or updates, please subscribe to **ECHA Weekly**.

The library is structured by sector and product. Clicking on a sector name below will give you access to the following information:

- Background information on the use map coverage
- Direct access to use map files, including Chesar files when available.

Industry sector associations and ECHA encourage you to **provide feedback!** Click [here](#) to access a short questionnaire. The feedback received will be used to improve our use map library and to gain insight into how the use maps are being used and how they could be further developed to meet your needs.

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International Association for Soaps, Detergents and Maintenance Products

All USE MAPs and SWEDs are available at the [A.I.S.E. website](http://www.aise.eu) and the [ECHA Use Map Library](https://echa.europa.eu/csr-es-roadmap/use-maps/use-maps-library).

[www.aise.eu](http://www.aise.eu)

# USE MAPs Benefits (for registrants)

A·I·S·E

- **Detailed information on actual use** (Realistic)
  - ✓ Reliable Chemical Safety Assessments (CSA)
- **Harmonized information format**
  - ✓ Harmonization between most industry sectors
- **Standardized format enables automation of assessments**
  - ✓ Saving time and resources

[www.aise.eu](http://www.aise.eu)

# USE MAPs Benefits (for formulators)

A·I·S·E

- **Reliable, high-quality exposure scenarios**
- **Realistic use conditions described**
- **Standardized communication to end-users possible**
  - ✓ **Safe Use of Mixtures Information (SUMI)**



[www.aise.eu](http://www.aise.eu)

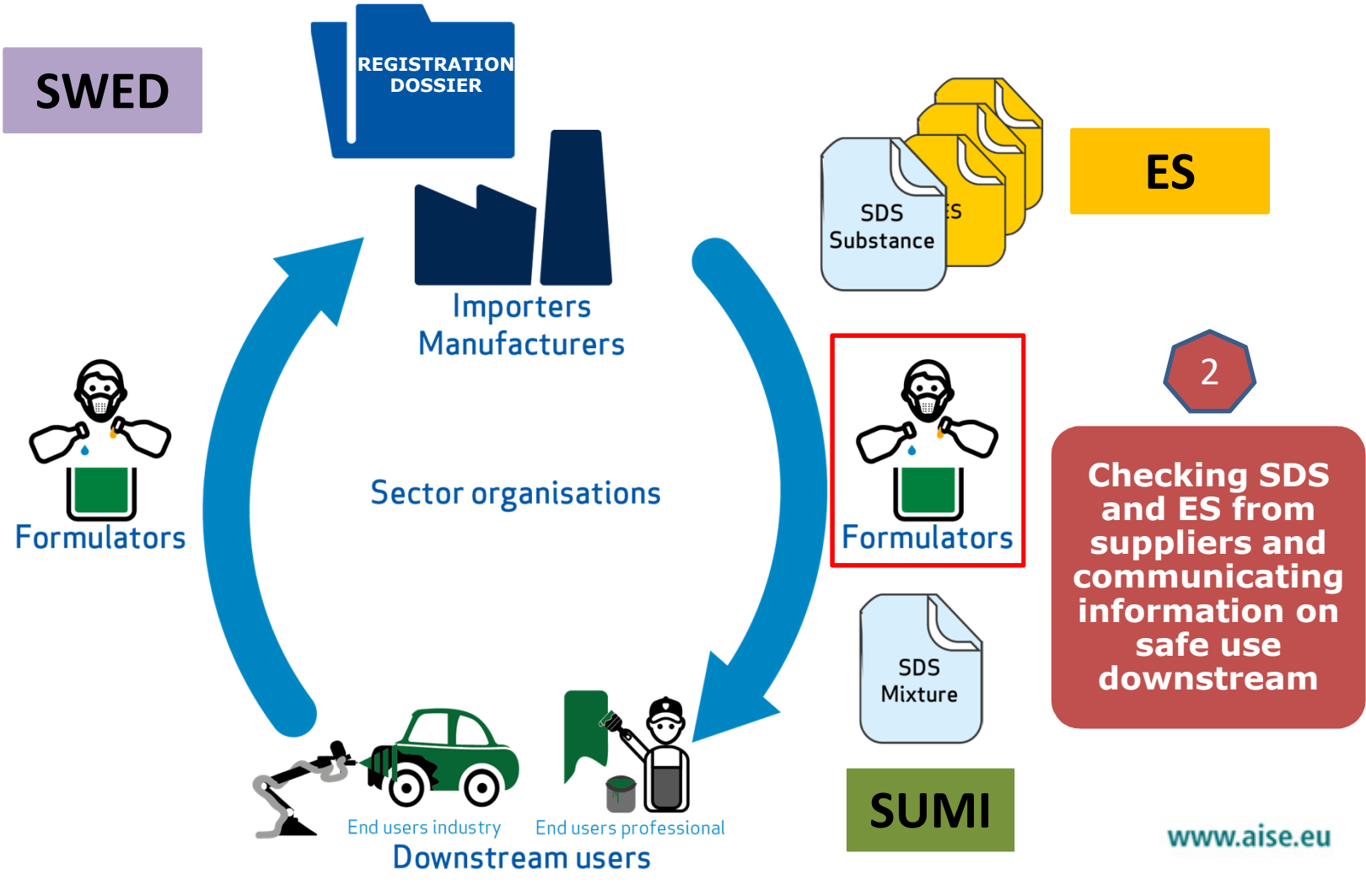
# USE MAPs Benefits (Downstream Users)

A·I·S·E

- Reliable, realistic conditions of safe use
- Standardized, harmonized communication between suppliers
  - ✓ SUMIs, tailored information for end-users
- **Using the results of a chemical safety assessment done at manufacturer level**
  - ✓ Dutch OHS inspectorate: *A.I.S.E. SUMIs can be used as a basis for the (OHS) chemical risk assessment (translation to practice required)*

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# Supply chain DOWN-stream communication Formulators role under REACH



# The Bottom-Up approach

The 'bottom-up' approach originated by DUCG has as **starting point**, the information on the uses of the mixtures and includes **two elements** to assist formulators with communication:

**upstream communication** of use conditions in the form of the **Sector - specific Worker Exposure Descriptions** [ [SWEDs](#) ] included in Use Maps

and Safe Use of Mixture Information [ [SUMIs](#) ] for **downstream communication** - both within the existing boundaries of REACH.

**SUMIs and SWEDs are therefore an integral part of this so-called bottom-up approach.**

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# The Bottom-Up approach

Tools developed by sector organisations, however, are a **support to formulators** and do not replace the duty to **verify the information received from supplier(s)** and to select the applicable instructions for safe use towards its end-users.

**In fact, the formulator shall check that all the substances in the product are:**

- ✓ In **such a concentration** that they do not pose a risk to the person working with the mixture, or
- ✓ The substances can be safely used within the conditions of the **relevant SWED**.

**This check has to be performed for all SWEDs for which the product is suitable!**

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# The Bottom-Up approach

The formulator then **mentions all SWED codes for which he has done the assessment** and determined that those **uses are safe for all relevant dangerous substances** in the product.

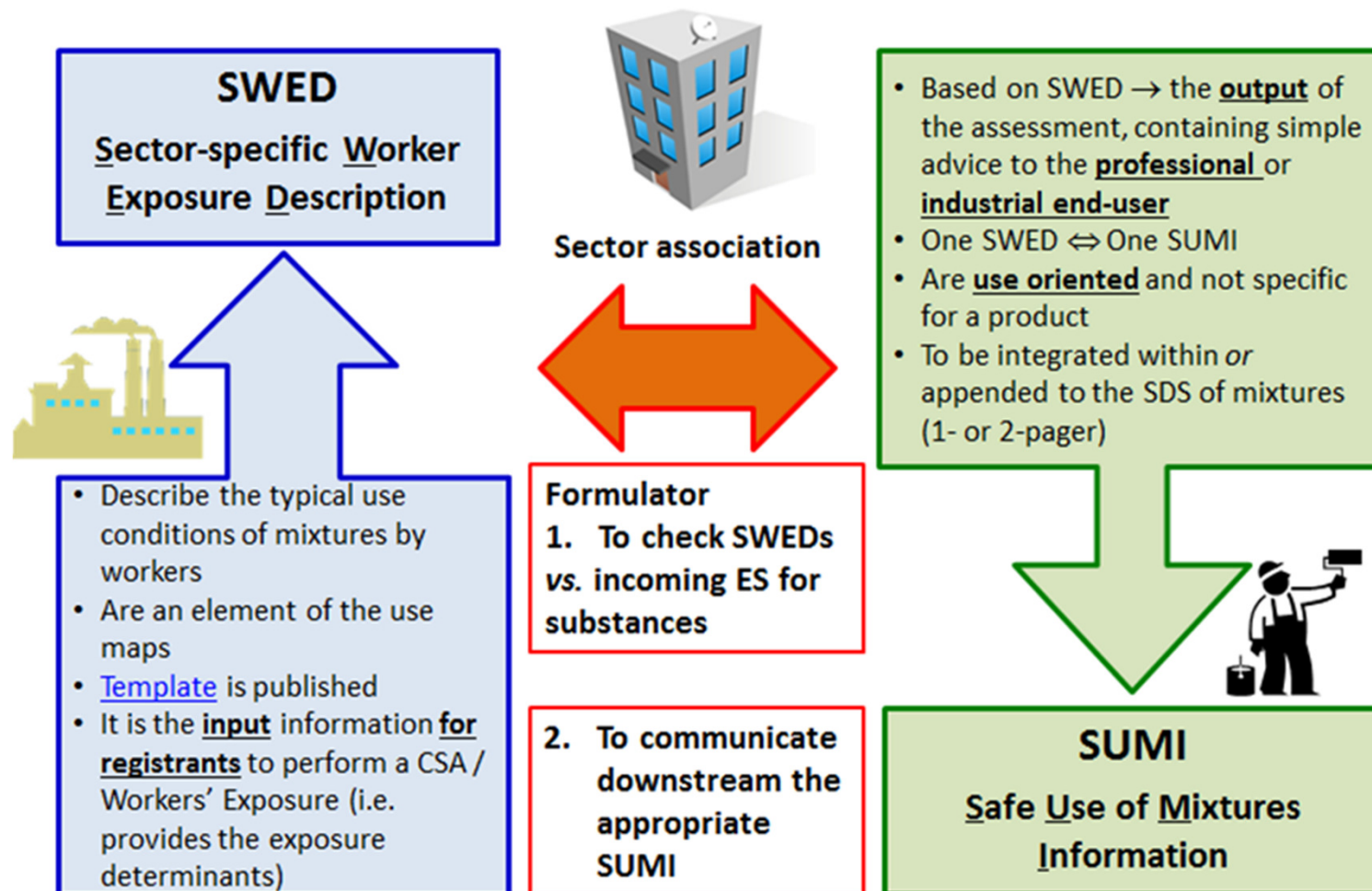
In a next step **all SUMIs linked** to those assessed **SWEDs** then have to be communicated down the supply chain in accordance with the REACH requirements and prescribed in the **ECHA Downstream User guidance <sup>(3)</sup>**.

### (3) ECHA Downstream User GUIDANCE

## The 3 options for Mixtures

*“The process of communication in the supply chain, should be **as efficient as possible**, proportionate to the risk, and **relevant** and **UNDERSTANDABLE** to the recipients.”*

# Explanation of the SWEDs



# The 3 options for Mixtures

## REACH COMMUNICATION in the SUPPLY CHAIN:

- Relevant **SUBSTANCE** information need to be provided **DOWN** the **SUPPLY CHAIN** via **SDS** and **EXPOSURE SCENARIOS**.
- **SAFE USE** of SUBSTANCES needs to be ensured during its **WHOLE LIFE CYCLE**.
- **COMMUNICATION** between downstream users and suppliers is **KEY**



# The 3 options for Mixtures

## COMMUNICATING Exposure information

### Possibilities:

1. **ATTACH** relevant exposure Scenarios for all the substances in the mixture **ANNEXED** to the **SDS**
2. **INTEGRATE** information into the **BODY** of **SDS**
3. **APPEND Safe Use** information for the Mixture



**Mentioning all the SUMI codes in Section 1.2 of SDS**

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# The bottom-up approach

The formulator then **mentions all SWED codes for which he has done the assessment** and determined that those **uses are safe for all relevant dangerous substances** in the product.

**SUMIs linked to those assessed SWEDs then have to be communicated down the supply chain in accordance with the REACH requirements.**

- **Preferably appended to SDS**
- or
- **Eventually integrated** in the core sections of SDS

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# A.I.S.E. SUMIs

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**Slide 39**

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**GS2**

CSR Roadmap, ENES, Use maps and the SUMI option.

Giulia Sebastio, 05/11/2018

## AISE\_SUMI\_IS\_7\_1

Version 1.0, June 2018

*Industrial spraying; Automated task; Open systems; Long term (LEV)*

*This document is intended to communicate the conditions of safe use for the product and should always be read in combination with the product's Safety Data Sheet and labels.*


### General description of the process covered

This SUMI applies to professional uses where articles are treated by dipping or pouring. This Safe Use Information is based on the AISE\_SWED\_IS\_7\_1.

### Operational Conditions

|  |   |
|--|---|
| <b>Maximum duration</b>                          | 480 minutes per day.  |
| <b>Range of application / Process conditions</b> | Indoor Use.<br>Process carried out at room temperature.<br>In case of dilution, tap water at a maximum temperature of 45°C is used. |
| <b>Air exchange rate</b>                         | LEV required.   |

### Risk Management Measures

|   |   |
|---|---|
| <b>Measures related to personal protective equipment (PPE), hygiene and health evaluation</b> | Wear suitable gloves.<br>See section 8 of the SDS of this product for specifications.<br>                |
|   | Training of workers in relation to proper use and maintenance of PPEs must be ensured.  |
| <b>Environmental measures</b>   | Prevent that undiluted product reaches surface waters.<br><b>If appropriate AISE SPERC 8a.1.a.v2 may apply:</b> wide dispersive use resulting in release to municipal sewage treatment plant. |

# SUMI\_IS\_7\_1

# Example

# (Recto)



Translation in  
 EU languages!

# SUMI\_IS\_7\_1

## Example

(Verso)



Translation in  
EU languages!

### Additional good practice advice

|  |   |
|--|---|
| Don't eat or drink.<br>Don't smoke.<br>Don't use in proximity of open flame.                 |    |
| Wash hands after use.<br>Avoid contact with damaged skin.<br>Do not mix with other products. |    |
| Spillage instructions  | Dilute with fresh water and mop up.   |
| Hygiene practices  | Follow the product instructions as specified on the label or in the product information sheet and use good occupational hygiene practices as specified in Section 7 of the product SDS. |

### Additional information depending on product composition

The label and (when required) the Safety Data Sheet contain additional, product specific information crucial for working safely with mixtures. Please refer to the product label and SDS for information including, but not limited to: product hazard classification, potentially allergenic fragrances, notable ingredients and threshold limit values (when available).

### Disclaimer

*This is a document for communicating generic conditions of safe use of a product. It is the responsibility of the formulator to link this SUMI to the SDS of a specific product that he is selling.*

*If a SUMI (or associated SWED) code is mentioned in the SDS of a product, the formulator of that product declares that all substances in the mixture are present in such concentration, that the use of the product within the conditions of the SUMI is safe. When available, this safe use is ensured by evaluating the results of the chemical safety assessments as performed by the raw material suppliers. When no chemical safety assessment has been carried out by the supplier for an ingredient that contributes to the classification of the mixture, the formulator has performed a safety assessment himself.*

*Following Occupational Health legislation, the employer of workers that use products that are assessed as safe following SUMI conditions remains responsible for communicating relevant use information to employees. When developing workplace instructions for employees, SUMI Sheets should always be considered in combination with the SDS and the label of the product.*

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*A.I.S.E. disclaims any liability to any person or entity for any loss, damage no matter of what kind (actual, consequential, punitive or otherwise), injury, claim, liability or other cause of any kind or character based upon or resulting from the use (even partly) of the content of this document.*

SUMI

Safe Use of Mixtures Information



## AISE\_SUMI\_IS\_7\_2\_G

Version 1.0, June 2018

*Industrial spraying; Automated task; Open system; Long term*

*This document is intended to communicate the conditions of safe use for the product and should always be read in combination with the product's Safety Data Sheet and labels.*


### General description of the process covered

This SUMI applies to professional uses where articles are treated by dipping or pouring. This Safe Use Information is based on the [AISE\\_SWED\\_IS\\_7\\_2](#).

### Operational Conditions

|  |   |
|--|---|
| Maximum duration                             | 240 minutes per day.  |
| Range of application /<br>Process conditions | Indoor Use.   |
|  | Process carried out at room temperature.  |
|  | In case of dilution, tap water at a maximum temperature of 45°C is used.                        |
| Air exchange rate                            | Provide a basic standard of general ventilation (1 to 3 air changes per hour). No LEV required. |

### Risk Management Measures

|  |  |
|--|--|
| Measures related to<br>personal protective<br>equipment (PPE),<br>hygiene and health<br>evaluation | Wear suitable gloves and eye protection. Wear suitable respiratory equipment.<br>See section 8 of the SDS of this product for specifications.<br> |
|  | Training of workers in relation to proper use and maintenance of PPEs must be ensured.   |
| Environmental<br>measures  | Prevent that undiluted product reaches surface waters.   |
|  | <b>If appropriate AISE SPERC 8a.1.a.v2 may apply:</b> wide dispersive use resulting in release to municipal sewage treatment plant.  |

# SUMI\_IS\_7\_2\_G

## Example

(Recto)



Translation in  
EU languages!

# SUMI\_IS\_7\_2\_G

## Example

(Verso)



Translation in  
EU languages!

### Additional good practice advice

|  |   |
|--|---|
| Don't eat or drink.<br>Don't smoke.<br>Don't use in proximity of open flame.                 |    |
| Wash hands after use.<br>Avoid contact with damaged skin.<br>Do not mix with other products. |    |
| Spillage instructions  | Dilute with fresh water and mop up.   |
| Hygiene practices  | Follow the product instructions as specified on the label or in the product information sheet and use good occupational hygiene practices as specified in Section 7 of the product SDS. |

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*Following Occupational Health legislation, the employer of workers that use products that are assessed as safe following SUMI conditions remains responsible for communicating relevant use information to employees. When developing workplace instructions for employees, SUMI Sheets should always be considered in combination with the SDS and the label of the product.*

*This document is provided by A.I.S.E. for general information purposes only. The formulator uses the content of this document at its sole risk.*

*A.I.S.E. disclaims any liability to any person or entity for any loss, damage no matter of what kind (actual, consequential, punitive or otherwise), injury, claim, liability or other cause of any kind or character based upon or resulting from the use (even partly) of the content of this document.*

## AISE\_SUMI\_PW\_11\_3\_G

Version 1.0, June 2018

### Professional uses; Spraying

*This document is intended to communicate the conditions of safe use for the product and should always be read in combination with the product's Safety Data Sheet and labels.*



#### General description of the process covered

This SUMI applies to professional uses where articles are treated by dipping or pouring. This Safe Use Information is based on the AISE\_SWED\_PW\_11\_3.

#### Operational Conditions

|  |   |
|--|---|
| <b>Maximum duration</b>                          | 480 minutes per day.  |
| <b>Range of application / Process conditions</b> | Indoor Use.<br>Process carried out at room temperature.<br>In case of dilution, tap water at a maximum temperature of 45°C is used. |
| <b>Air exchange rate</b>                         | Provide a basic standard of general ventilation (1 to 3 air changes per hour). No LEV required.                                     |

#### Risk Management Measures

|   |   |
|---|---|
| <b>Measures related to personal protective equipment (PPE), hygiene and health evaluation</b> | Wear suitable gloves and eye protection.<br>See section 8 of the SDS of this product for specifications.<br>  |
|   | Training of workers in relation to proper use and maintenance of PPEs must be ensured.  |
| <b>Environmental measures</b>   | Prevent that undiluted product reaches surface waters.  |
|   | <b>If appropriate AISE SPERC 8a.1.a.v2 may apply:</b> wide dispersive use resulting in release to municipal sewage treatment plant.   |

SUMI\_PW\_11\_32\_G

Example

(Recto)



Translation in EU languages!

# SUMI\_PW\_11\_32\_G

## Example

(Verso)



Translation in  
EU languages!

### Additional good practice advice

|  |   |
|--|---|
| Don't eat or drink.<br>Don't smoke.<br>Don't use in proximity of open flame.                 |    |
| Wash hands after use.<br>Avoid contact with damaged skin.<br>Do not mix with other products. |    |
| Spillage instructions  | Dilute with fresh water and mop up.   |
| Hygiene practices  | Follow the product instructions as specified on the label or in the product information sheet and use good occupational hygiene practices as specified in Section 7 of the product SDS. |

### Additional information depending on product composition

The label and (when required) the Safety Data Sheet contain additional, product specific information crucial for working safely with mixtures. Please refer to the product label and SDS for information including, but not limited to: product hazard classification, potentially allergenic fragrances, notable ingredients and threshold limit values (when available).

### Disclaimer

*This is a document for communicating generic conditions of safe use of a product. It is the responsibility of the formulator to link this SUMI to the SDS of a specific product that he is selling.*

*If a SUMI (or associated SWED) code is mentioned in the SDS of a product, the formulator of that product declares that all substances in the mixture are present in such concentration, that the use of the product within the conditions of the SUMI is safe. When available, this safe use is ensured by evaluating the results of the chemical safety assessments as performed by the raw material suppliers. When no chemical safety assessment has been carried out by the supplier for an ingredient that contributes to the classification of the mixture, the formulator has performed a safety assessment himself.*

*Following Occupational Health legislation, the employer of workers that use products that are assessed as safe following SUMI conditions remains responsible for communicating relevant use information to employees. When developing workplace instructions for employees, SUMI Sheets should always be considered in combination with the SDS and the label of the product.*

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# SUMI

-

# DUCC<sup>©</sup> Pictogram



Contact Members login

A joint platform of European associations whose member companies use chemicals to formulate mixtures (as finished or intermediary products) for professional and industrial users, as well as for consumers.

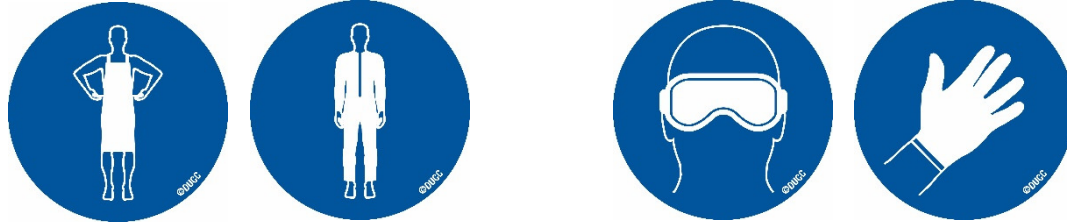
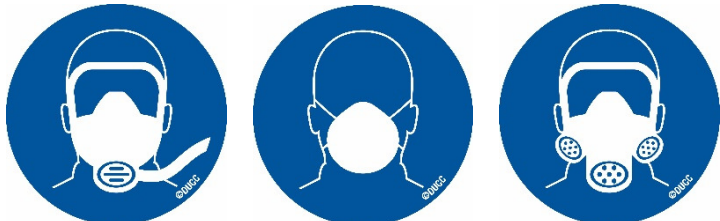
### Guidance & Tools

DUCC and its member associations are involved in the development of industry practical guidance, in all REACH and CLP topics that are considered relevant for the smooth implementation of these Regulations. In many cases such documents are the outcome of projects (industry projects or projects in the context of CSR/ES Roadmap) or internal discussions (sometimes involving other industry partners).

2018

- **DUCC SUMI Pictograms** - please read the Disclaimer and Terms and Conditions of Use before making use of these files

| Pictogram           | JPG  | PDF  | PNG  |
|---------------------|------|------|------|
| Air Fed Mask        | AFM1 | AFM2 | AFM3 |
| Apron               | A1   | A2   | A3   |
| Dust Mask           | DM1  | DM2  | DM3  |
| Filter Mask         | FM1  | FM2  | FM3  |
| Gloves              | G1   | G2   | G3   |
| Goggles             | GG1  | GG2  | GG3  |
| Protective Clothing | PC1  | PC2  | PC3  |



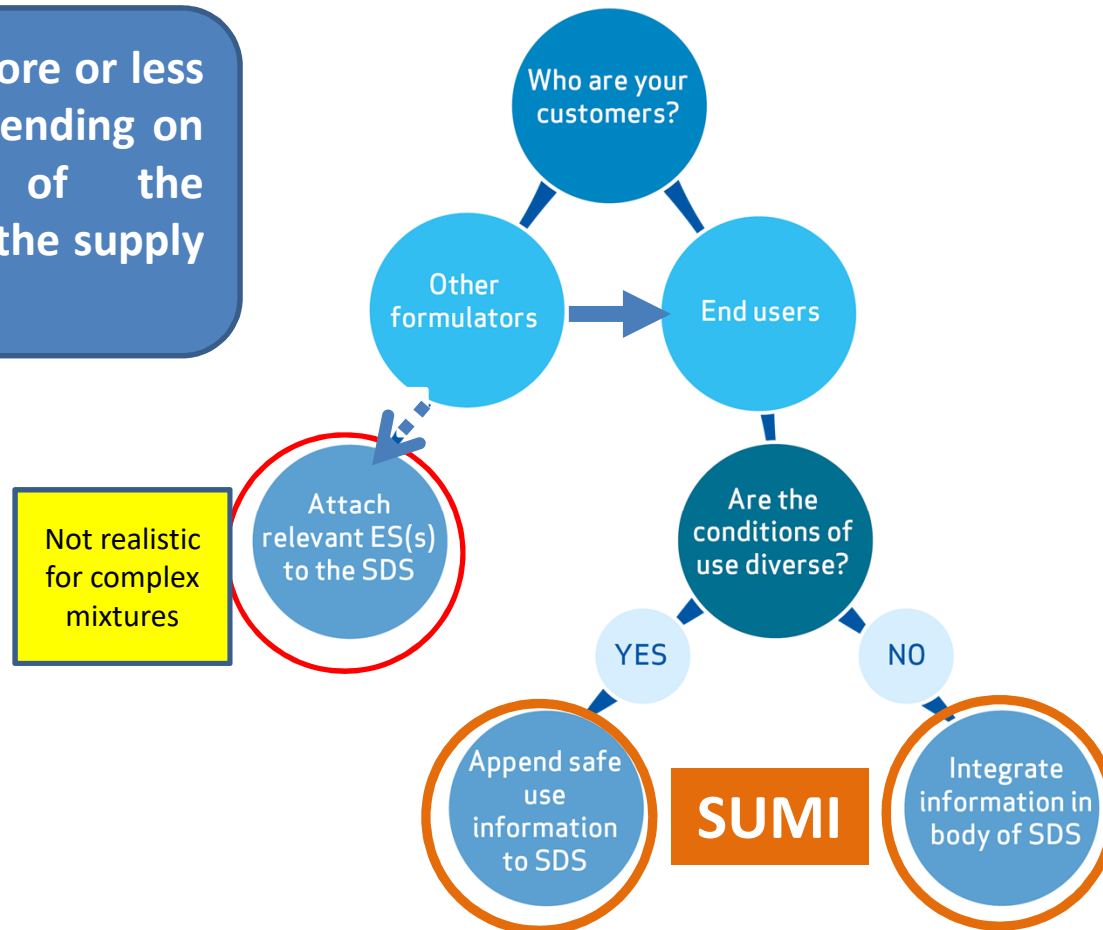
<http://www.ducc.eu/Publications.aspx> (Guidance & Tools)

[www.aise.eu](http://www.aise.eu)



# The 3 options for Mixtures

3 options, more or less relevant depending on the role of the customer in the supply chain



**Inform your customers without delay when new information becomes available**





International Association for Soaps,  
Detergents and Maintenance Products

# SUMIs: the role of formulators

AISE WEBINAR

Randi Hanstveit (DIVERSEY)

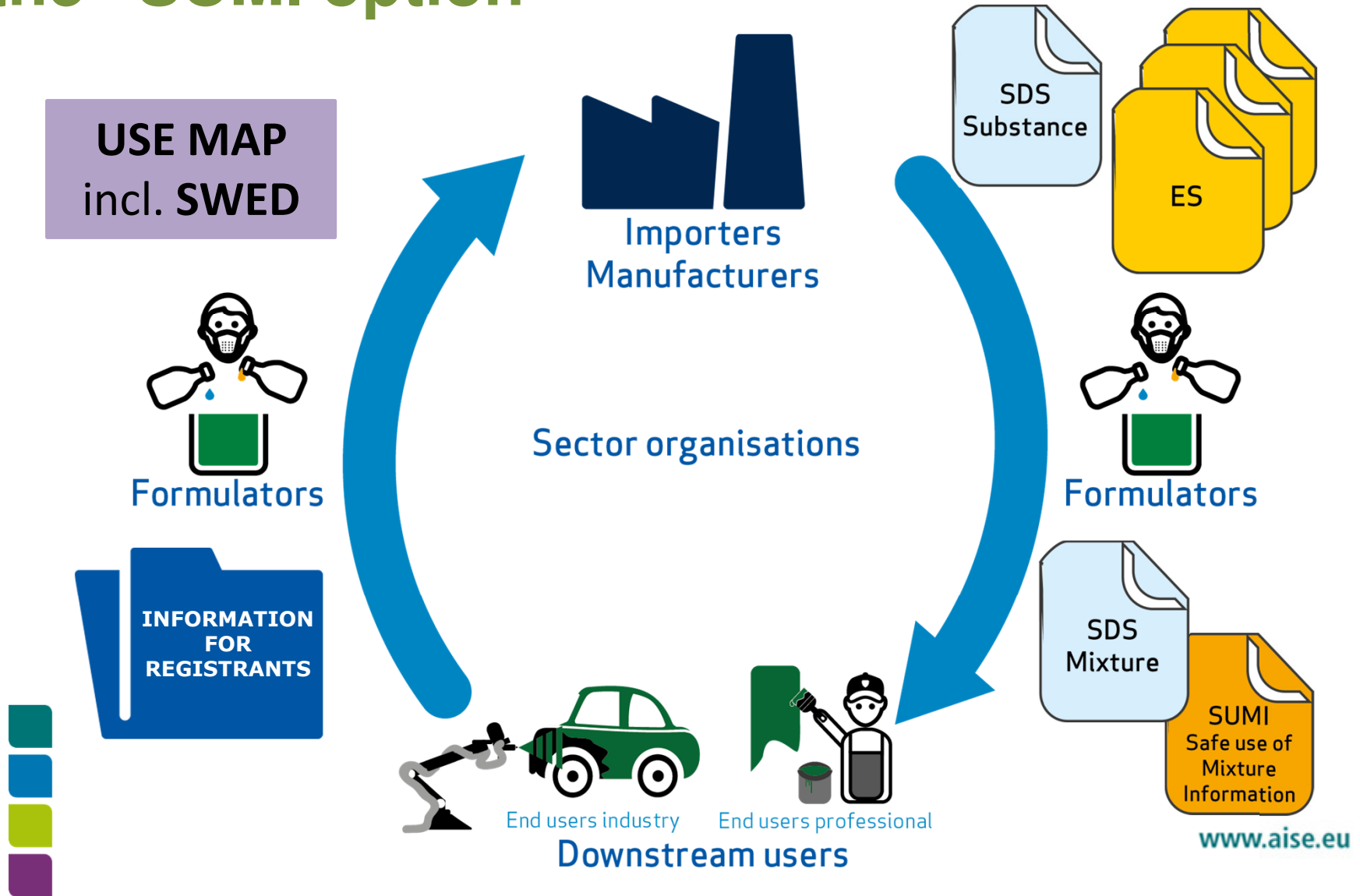


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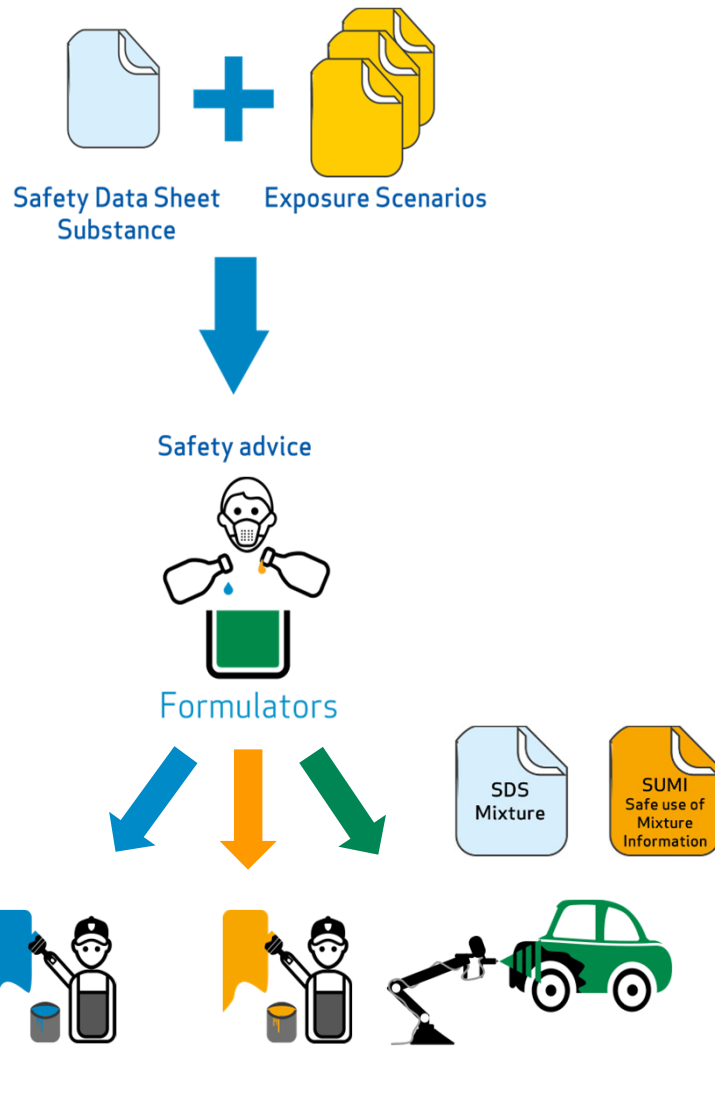
Phone: +32 2 679 62 60 • Email: [aise.main@aise.eu](mailto:aise.main@aise.eu) • VAT: BE 0538 183 615

[www.aise.eu](http://www.aise.eu)

# The supply chain: summary with the “SUMI option”



# Communication down the Supply Chain - The formulator's role



- Map product use and communicate to suppliers.
- Formulator receives extended safety data sheets for substances from his suppliers
- Identifies and consolidates relevant advice to provide for safe use of the mixture
- Report back to suppliers
- Provides safety data sheets for hazardous mixtures to downstream users, together with consolidated information on safe use (in SDS or as SUMI)
- Customers receive clear, relevant information so they can use the mixtures safely

# Map product use to AISE SWED



## Professional vs industrial

- Professional =
  - not a production site, wide spread use, less supervision, various degree of training
- Industrial =
  - use a production site, well-trained employees, proper work instructions, and supervision

| SWED code           | SUMI code         | Title   | Explanation  | Examples   |
|---------------------|-------------------|---|--|--|
| AISE_SWED_PW_8a_1_L | AISE_SUMI_PW_8a_1 | Transfer of product to a container (bottle/bucket/machine); Level II                    | The product is filled from large containers into a bottle, a bucket or a machine, without dedicated equipment and containment techniques in place. It is a short process. Protection of hands is in place. | Transferring a concentrated product to a bucket, flask or machine, whether or not in combination with diluting the product with water. |
| AISE_SWED_IS_8b_2_L | AISE_SUMI_IS_8b_2 | Transfer and dilution of concentrated product by using dedicated dosing system; Level I | The product is filled from large containers into a machine or a vessel. Filling means to link and delink a tube with the container. It is a short process.   |  |
| AISE_SWED_IS_8b_2_S |                   |   |  |  |

# Example product

- Composition of sanitary cleaner

| Ingredient      | Classified (CLP)? | Conc. (%) | Contributing to mixture classification? |
|-----------------|-------------------|-----------|---|
| Water           | No                | 80,14     | No                                      |
| Phosphoric acid | Yes               | 9,8       | Yes                                     |
| Sulphamic acid  | Yes               | 4         | Yes                                     |
| ABS             | Yes               | 6,0077    | Yes                                     |
| Perfume X       | Yes               | 0,05      | No                                      |
| Colorant Y      | No                | 0,0035    | No                                      |

- Product classification:

- Skin Cat. 1, causes severe skin burns and eye damage (H314)*



[www.aise.eu](http://www.aise.eu)

# Example product

- Sanitary cleaner for professional use
- ***Typical use: Undiluted brushing by hand for 'tough' stains, diluted brushing by hand for everyday cleaning***
- Application 1: brushing by hand with undiluted product
  - PROC19, on average about 6 hours a day
  - Select : AISE\_SWED\_PW\_19\_2
- Application 2: diluting the product in a bucket
  - PROC8a, on average max. 1 hour a day
  - Select : AISE\_SWED\_PW\_8a\_1
- Application 3: brushing by hand with the diluted product
  - PROC19, on average 8 hours a day
  - Select : AISE\_SWED\_PW\_19\_1



# Example product

- Sanitary cleaner for professional use
  - Product classified for health hazard →
    - *REACH obligation to check ESs of hazard driving substances and to forward safe use information*
  - Three substances contributing to mixture classification
    - Phosphoric acid, Sulphamic acid, ABS
  - Three applications, three SUMIs to be selected
    - PROC19 (brushing) and PROC8a (diluting)



# Example product

- Sanitary cleaner for professional use
  - Applications of a dilution: is the dilution still classified?
    - If no: any use of dilution is safe
- Sanitary cleaner: dilution (1:10, according to label and instructions) is not classified
  - Brushing by hand with diluted product is safe without OC/RMM
  - → for dilution **AISE\_SUMI\_PW\_19\_1** applies!

SUMI  
Safe Use of Mixtures Information



AISE\_SUMI\_PW\_19\_1  
Version 1.1, August 2018

Professional uses; Manual application

*This document is intended to communicate the conditions of safe use for the product and should always be read in combination with the product's Safety Data Sheet and labels.*

#### General description of the process covered

This SUMI applies to professional uses where the product is brushed on a surface and there is full exposure to the hands. This Safe Use Information is based on the AISE\_SWED\_PW\_19\_1.

#### Operational Conditions

|   |   |
|---|---|
| Maximum duration                          | 480 minutes per day.  |
| Range of application / Process conditions | Indoor Use.<br>Process carried out at room temperature.<br>In case of dilution, tap water at a maximum temperature of 45°C is used. |
| Air exchange rate                         | Provide a basic standard of general ventilation (1 to 3 air changes per hour). No LEV required.                                     |

#### Risk Management Measures

|  |   |
|--|---|
| Measures related to personal protective equipment (PPE), hygiene and health evaluation | See section 8 of the SDS of this product for specifications.<br>Training of workers in relation to proper use and maintenance of PPEs must be ensured.  |
| Environmental measures   | Prevent that undiluted product reaches surface waters.<br><b>If appropriate AISE SPERC 8a.1.a.v2 may apply:</b> wide dispersive use resulting in release to municipal sewage treatment plant. |

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# ES Phosphoric Acid

| No. | Short title              | Main User Group (SU) | Sector of Use (SU)   | Product Category (PC)   | Process Category (PROC)                                 | Environmental Release Category (ERC) | Article Category (AC) | Specification |
|-----|--------------------------|----------------------|----------------------|---|---|--------------------------------------|-----------------------|---------------|
| 1   | Manufacture of substance | 3                    | 8, 9                 | NA  | 1, 2, 3, 4, 8b, 9, 15                                   | 1                                    | NA                    | ES1433        |
| 2   | Industrial use           | 3                    | 8, 9, 10, 15, 16, 17 | 0, 1, 7, 9a, 9b, 13, 14, 19, 20, 21, 23, 24, 25, 26, 32, 34, 35, 37, 39 | 1, 2, 3, 4, 5, 7, 8a, 8b, 9, 10, 13, 14, 15, 19, 22, 23 | 2, 3, 4, 6a, 6b, 6d                  | NA                    | ES1460        |
| 3   | Professional use         | 22                   | 1, 19                | 9a, 9b, 12, 14, 15, 31, 35, 37, 38                                      | 5, 8a, 8b, 9, 10, 11, 13, 19, 25                        | 8a, 8b, 8c, 8e                       | NA                    | ES1470        |
| 4   | Use in Cleaning Agents   | 21                   | NA                   | 0, 12, 28, 31, 35, 38, 39   | NA  | 8a, 8b, 8e, 10a, 11a                 | NA                    | ES1513        |

| 1. Short title of Exposure Scenario 3: Professional use |  |
|---|--|
| Main User Groups  | SU 22: Professional uses: Public domain (administration, education, entertainment, services, craftsmen)  |
| Sectors of end-use                                      | SU1: Agriculture, forestry, fishery<br>SU19: Building and construction work  |
| Chemical product category                               | PC9a: Coatings and paints, thinners, paint removers<br>PC9b: Fillers, putties, plasters, modelling clay<br>PC12: Fertilizers<br>PC14: Metal surface treatment products, including galvanic and electroplating products<br>PC15: Non-metal-surface treatment products<br>PC31: Polishes and wax blends<br><b>PC35: Washing and cleaning products (including solvent based products)</b><br>PC37: Water treatment chemicals<br>PC38: Welding and soldering products (with flux coatings or flux cores), flux products  |
| Process categories                                      | PROC5: Mixing or blending in batch processes for formulation of preparations and articles (multistage and/or significant contact)<br><b>PROC8a: Transfer of substance or preparation (charging/discharging) from/to vessels/large containers at non-dedicated facilities</b><br>PROC8b: Transfer of substance or preparation (charging/discharging) from/to vessels/large containers at dedicated facilities<br>PROC9: Transfer of substance or preparation into small containers (dedicated filling line, including weighing)<br>PROC10: Roller application or brushing<br>PROC11: Non industrial spraying<br>PROC13: Treatment of articles by dipping and pouring<br><b>PROC19: Hand-mixing with intimate contact and only PPE available</b> |

[www.aise.eu](http://www.aise.eu)

# ES Phosphoric Acid

## 2.2 Contributing scenario controlling worker exposure for: PROC5, PROC8a, PROC8b, PROC9, PROC10, PROC11, PROC13, PROC19, PROC25

|   |   |                                     |
|---|---|-------------------------------------|
| Product characteristics   | Concentration of the Substance in Mixture/Article   | Covers concentrations more than 25% |
|   | Physical Form (at time of use)  | liquid, solid                       |
|   | Frequency of use  | 220 days/year                       |
| Frequency and duration of use   | The maximum duration considered for this exposure scenario is a working shift of above 4h/day (worst case assumption)                                 |                                     |
|   | Frequency of use  | 8 hours/day                         |
| Organisational measures to prevent /limit releases, dispersion and exposure           | Because the substance is corrosive, the risk management measures for human health should focus on the prevention of direct contact with the substance |                                     |
| Conditions and measures related to personal protection, hygiene and health evaluation | Use suitable eye protection and gloves.   |                                     |
|   | Wear suitable coveralls to prevent exposure to the skin.  |                                     |



# ES Phosphoric Acid

- SUMI selection
  - ES of **phosphoric acid** covers applications of PROC19 and PROC8a
    - Up to 480 minutes/day, with use of gloves & goggles
    - Same situation with ABS and Sulphamic acid
  - **AISE\_SUMI\_PW\_8a\_G** and **AISE\_SUMI\_PW\_19\_2\_G** apply for undiluted product



# Example Product

- Communicating safe use information for sanitary cleaner (example)
- In core section of SDS:
  - For this product the following A.I.S.E. SUMIs are applicable (see appendices):
    - Diluting the product in a bucket with water:  
[AISE\\_SUMI\\_PW\\_8a\\_G](#)
    - Brushing by hand of the DILUTED product:  
[AISE\\_SUMI\\_PW\\_19\\_1](#) (PLEASE NOTE: ONLY WHEN DILUTION FACTOR OF AT LEAST 1:10 IN WATER IS ENSURED)
    - Brushing by hand of the undiluted product:  
[AISE\\_SUMI\\_PW\\_19\\_2\\_G](#)



Append relevant SUMIs to product SDS

# Example Product– what if ES information is NOT acceptable

For example: required **AISE\_SWED\_PW\_19\_1**, manual use without gloves, but only scenarios with gloves available

- 1) Request supplier to adjust scenario, referring to AISE SWED!
- 2) Scaling possible?
- 3) Do DU-CSR (not preferred, supplier will not be aware that there is an issue, no improvement to be expected in future)
- 4) Accept to change your safety advise (**NOT PREFERRED, not worker friendly**)

Other common situation:

- LEV required for professional use.

# Communicating with Downstream Users

1. Ensure that the product SDS contains your recommended use and is in line with AISE SWED/SUMI
  
2. safe use communication for recommended use:
  - a) Via main body of the SDS
    - a) Send SDS to customer
  - b) via SUMIs
    - a) Send pro-actively the full SUMI package to your customer with explanation
    - b) Refer in the SDS or specific communication to AISE website where full package is available in all languages
    - c) If technically possible : automatically send the relevant SUMIs per product in correct language together with the Safety Data Sheet

 The AISE SWED code is the most important!

 Currently AISE SWED code can directly be entered in WIK Maker  
 resulting in a Worker Instruction Card.

[www.aise.eu](http://www.aise.eu)

# A.I.S.E. SUMIs

How to use SUMIs as end user?

- Determine how the product should be applied
  - Label, SDS and/or SUMI for the recommended AISE SWED codes
- Check which OC/RMM are required
  - Maximum duration per day?
  - Do I have the right gloves? etc
- Ensure that the employees adhere to the conditions of safe use
  - Training the employees
  - Developing Workplace Instruction Cards, based on SUMI information for product risks (For example: use ' WIK Maker' )

# Summary and Closing Remarks

- Determining and communicating conditions of safe use of the mixture is a legal obligation!
  - Even own assessment may be required if supplier has not done so
- Use of the A.I.S.E. SUMI approach is voluntary, but expressly urged
  - Harmonisation and simplicity for end-users



# Summary and Closing Remarks

- Mixture not classified according to CLP? No additional requirements!
- Only send SUMIs if a ‘safety assessment’ has been performed!
  - Based on ESs from substances contributing to classification
  - Based on own assessment
  - Based on CLP classification
    - No classification or acute classification (gloves and goggles are (also) for acute hazards!)

# Use Maps Benefits



Manufacturer



Formulator



End-user

- Reliable, realistic conditions of safe use
- Standardized, harmonized communication between suppliers
  - SUMIs, tailored information for end-users
- Using the results of a chemical safety assessment done at manufacturer level
  - Dutch OHS inspectorate: A.I.S.E. SUMIs can be used as a basis for the (OHS) chemical risk assessment (translation to practice required)

# Closing remarks

- Use maps improve upstream communication...
  - More detailed information on actual uses
- ...and therefore also downstream communication...
  - High quality, reliable exposure scenarios
- ...which enables safer workplaces, especially at end-user level.
  - Using the assessment results from a more knowledgeable player in supply chain





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# Next Steps

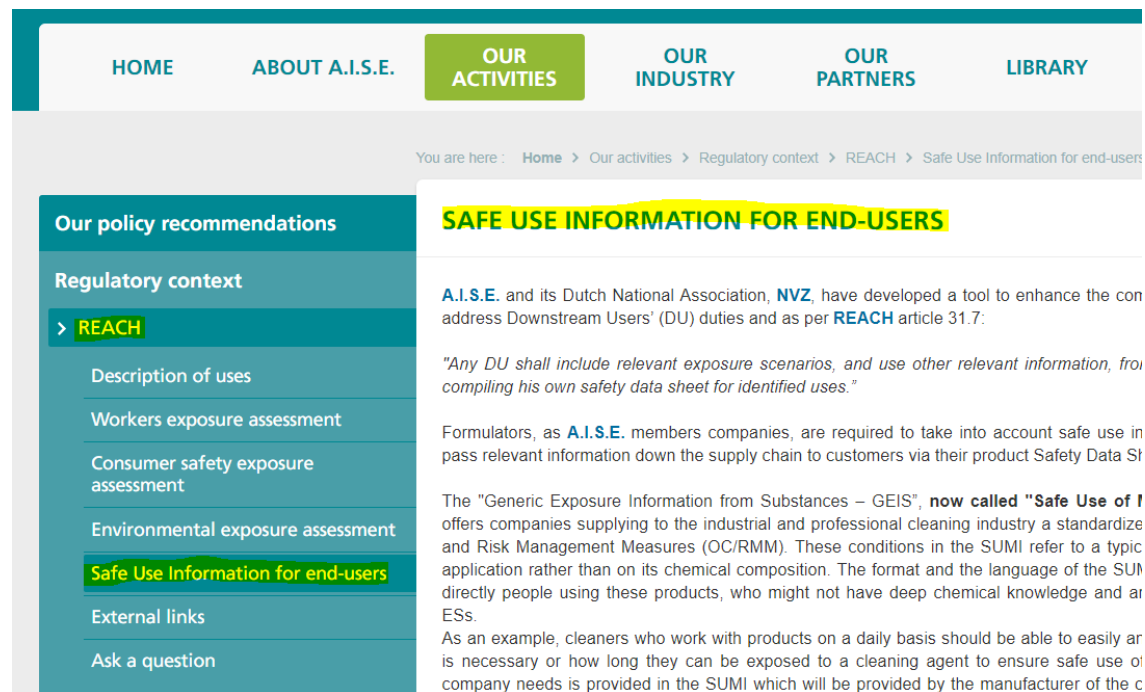


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[www.aise.eu](http://www.aise.eu)

# Where to find the SUMIs?

<https://www.aise.eu/>



The screenshot shows the A.I.S.E. website navigation menu with options: HOME, ABOUT A.I.S.E., OUR ACTIVITIES (highlighted), OUR INDUSTRY, OUR PARTNERS, and LIBRARY. Below the menu is a breadcrumb trail: You are here: Home > Our activities > Regulatory context > REACH > Safe Use Information for end-users.

The main content area is titled "SAFE USE INFORMATION FOR END-USERS". It includes the following text:

**A.I.S.E.** and its Dutch National Association, **NVZ**, have developed a tool to enhance the compliance of Downstream Users' (DU) duties and as per **REACH** article 31.7:

*"Any DU shall include relevant exposure scenarios, and use other relevant information, from compiling his own safety data sheet for identified uses."*

Formulators, as **A.I.S.E.** members companies, are required to take into account safe use information and pass relevant information down the supply chain to customers via their product Safety Data Sheet (SDS).

The "Generic Exposure Information from Substances – GEIS", now called "**Safe Use of Information for End-Users (SUIE)**", offers companies supplying to the industrial and professional cleaning industry a standardized and Risk Management Measures (OC/RMM). These conditions in the SUIE refer to a typical application rather than on its chemical composition. The format and the language of the SUIE are directly people using these products, who might not have deep chemical knowledge and are not experts.

As an example, cleaners who work with products on a daily basis should be able to easily access information on how long they can be exposed to a cleaning agent to ensure safe use of the product. This information is provided in the SUIE which will be provided by the manufacturer of the product.

# Next Steps

- Translations
  - End 2018: French, German, Italian, Dutch, Portuguese, Spanish and Finnish.
  - A.I.S.E. encourages translations
- Possibility of organizing a second Webinar (cover more practical examples of SUMIs) is being considered.



International Association for Soaps,  
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# Q&A

More in-depth answers to questions will be provided in writing.



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# References and Useful links

## ENES

<https://echa.europa.eu/nl/about-us/exchange-network-on-exposure-scenarios>

## ECHA R12 Guidance

[https://echa.europa.eu/documents/10162/13632/information\\_requirements\\_r12\\_en.pdf](https://echa.europa.eu/documents/10162/13632/information_requirements_r12_en.pdf)

## A.I.S.E. Use Maps

<https://www.aise.eu/our-activities/regulatory-context/reach/description-of-uses.aspx>

## ECHA Use Maps

<https://echa.europa.eu/csr-es-roadmap/use-maps/concept>

## ESCom Standard Phrases

<http://www.cefic.org/Industry-support/Implementing-reach/escom/>

## CSR/ES roadmap 2018

<https://echa.europa.eu/-/reach-roadmap-published>



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